

PTO/8B/17 (10-03)

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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003 Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 824.00

**Complete if Known**

Application Number **09/909,414**  
 Filing Date **July 19, 2001**  
 First Named Inventor **Frank L. Graham et al.**  
 Examiner Name **Vogel, Nancy T.**  
 Art Unit **1636**  
 Attorney Docket No. **ADVEC-10CA**

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit Account Number  
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
1001	2001	770 305	Utility filing fee	
1002	2002	340 170	Design filing fee	
1003	2003	630 265	Patent filing fee	
1004	2004	770 385	Reissue filing fee	
1005	2005	180 80	Provisional filing fee	

SUBTOTAL (1)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
21	18	18	18
Independent Claims	1	86	86
Multiple Dependent			

Large Entity	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
1202	2202	18 9	Claims in excess of 20	
1201	2201	88 43	Independent claims in excess of 3	
1203	2203	290 145	Multiple dependent claim, if not paid	
1204	2204	86 43	Reissue independent claims over original patent	
1205	2205	18 9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$) 104.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity - Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	2051	66 Surcharge - late filing fee or oath	
1052	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	1053	130 Non-English specification	
1812	2,520	2,520 For filing a request for ex parte reexamination	
1804	920	920 Requesting publication of SIR prior to Examiner action	
1805	1,840	1,840 Requesting publication of SIR after Examiner action	
1801	110	220 Extension for reply within first month	
1252	420	262 Extension for reply within second month	
1263	85	2253 475 Extension for reply within third month	
1254	180	2254 740 Extension for reply within fourth month	
1255	2,010	2255 1,005 Extension for reply within fifth month	
1801	330	2401 185 Notice of Appeal	
1402	330	2402 185 Filing a brief in support of an appeal	
1403	290	2403 145 Request for oral hearing	
1451	1,510	1451 1,510 Petition to institute a public use proceeding	
1452	110	2452 55 Petition to revive - unavoidable	
1453	1,330	2453 665 Petition to revive - unintentional	
1501	1,330	2501 685 Utility issue fee (or reissue)	
1502	480	2502 240 Design issue fee	
1503	640	2503 320 Plant issue fee	
1460	130	1460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180 Submission of Information Disclosure Stmt	
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	770	2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810 385 For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801 385 Request for Continued Examination (RCE)	
1802	900	1802 900 Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) -0-

**SUBMITTED BY**Name (Print/Type) **Joseph Fischer**Registration No. **51,210**

(Complete if applicable)

Telephone **407-926-7727**

Signature

Date **11/12/2003****WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Commissioner of Patents	Joseph Fischer
COMPANY:	DATE:
U. S. Patent and Trademark Office	February 10, 2004
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
703-872-9306	13
PHONE NUMBER:	DIRECT NUMBER:
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YOUR REFERENCE NUMBER:	SENDER'S REFERENCE NUMBER:
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RE:	

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

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## NOTES/COMMENTS:

This certificate of transmission is attached to the following documents in re Serial No. 09/909,414 filed 7/19/2001: Supplemental Reply/Amendment Under 37 CFR 1.111 and attached Fee Transmittal marked "duplicate."

Certificate of Transmission

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*Cherita Haysman*

SMCJ/JCWS

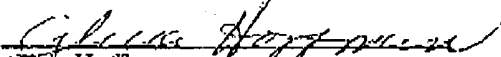
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: : Frank L. Graham, et al.  
Examiner : Vogel, Nancy T.  
Art Unit : 1636  
Docket No. : AdVec10CA  
Serial No. : 09/909,414  
Filed : 07/19/2001  
For: : Recombinase-based System for Construction of Adenovirus

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA, 22313-1450

Dear Sir or Madam:

SUPPLEMENTAL REPLY/AMENDMENT UNDER 37 C.F.R. 1.111

This reply with amendment supplements the response, mailed 11/12/2003, in response to the Office Action mailed in the captioned application on August 12, 2003.

This reply with amendment is provided to correct inadvertent errors recently identified in the Fee Transmittal form, PTO/SB/17, submitted 11/12/2003, and minor errors recently identified in certain claims 14-21, added in the response mailed 11/12/2003.